

# AMENDMENT TRANSMITTAL LETTER (Small Entity)

Docket No.

2683/74619

Applicant(s): Satyan G. Pitroda

Serial No.

09/372,365

Filing Date

August 11, 1999

Examiner

N. Nguyen

Group Art Unit

3628

Invention:

SYSTEM AND METHODS FOR SERVICING ELECTRONIC TRANSACTIONS

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

### CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	12 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	-	3 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0920  
A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

*Walter J. Kawula, Jr.*  
Signature

Dated: May 5, 2003

Walter J. Kawula, Jr., Esq.  
WELSH & KATZ, LTD.  
120 South Riverside Plaza - 22nd Floor  
Chicago, Illinois 60606

(312) 655-1500

CC:

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*Walter J. Kawula, Jr.*

Signature of Person Mailing Correspondence

Walter J. Kawula, Jr.

Typed or Printed Name of Person Mailing Correspondence

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+

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/372,365

Filing Date

August 11, 1999

First Named Inventor

Satyan G. Pitroda

Group Art Unit

3628

Examiner Name

N. Nguyen

Total Number of Pages in This Submission

15

Attorney Docket Number

2683/74619

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment/Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a Provisional  
Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notices, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Return Receipt Postcard

☒ Additional Enclosure(s)  
(please identify below):

1. Amended Claims Pursuant To  
35 CFR §1.121(C)(1)(ii), (4 pgs);
2. Transmittal of Substitute  
Abstract (1 pg); and
3. Substitute Abstract (1 pg.).

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or  
Individual Name

Walter J. Kawula, Jr. Esq.  
WELSH & KATZ, LTD.

Signature

*Walter J. Kawula, Jr.*

Date

5/5/2003

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GROUP 3600

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Walter J. Kawula, Jr., Esq.

Signature

*Walter J. Kawula, Jr.*

Date

May 5, 2003

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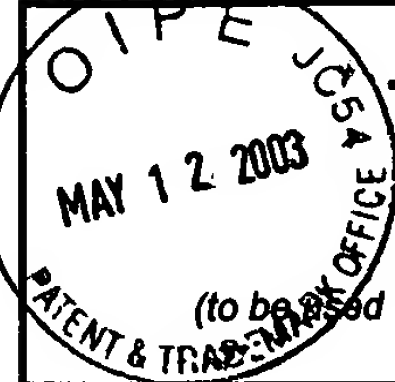
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PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

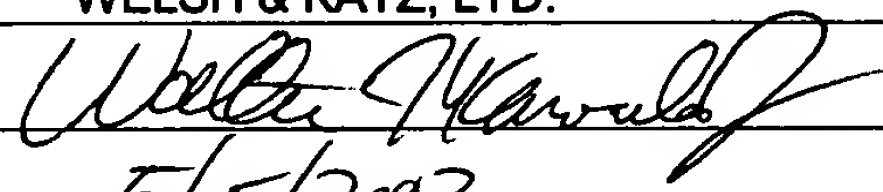
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 <b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/372,365	
	Filing Date	August 11, 1999	
	First Named Inventor	Satyan G. Pitroda	
	Group Art Unit	3628	
	Examiner Name	N. Nguyen	
Total Number of Pages in This Submission	15	Attorney Docket Number	2683/74619

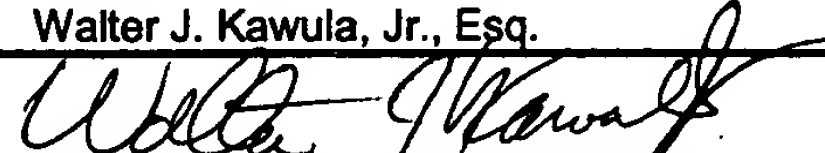
### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notices, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): 1. Amended Claims Pursuant To 35 CFR §1.121(C)(1)(ii), (4 pgs); 2. Transmittal of Substitute Abstract (1 pg); and 3. Substitute Abstract (1 pg.).
Remarks		<b>RECEIVED</b> MAY 14 2003 <b>GROUP 3600</b>

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Walter J. Kawula, Jr. Esq. WELSH & KATZ, LTD.
Signature	
Date	5/5/2003

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Typed or Printed Name	Walter J. Kawula, Jr., Esq.	
Signature		Date May 5, 2003

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